

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/696,720	10/24/2000	Theodore W. Walter	13023(B)

Richard Esty Peterson
Patent attorney
1905 D Palmetto Avenue
Pacifica, CA 94044



FORMALITIES LETTER



OC000000005643143

Date Mailed: 12/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

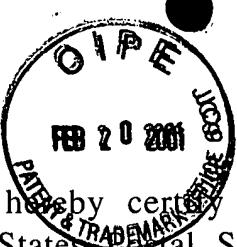
PART 1 - ATTORNEY/APPLICANT COPY

02/23/2001 NPIRASD 00000003 09696720

01 FC:205

65.00 DP

#3



CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope, postage fully paid, addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on February 14, 2001.

By: Sallie Whitsett
Sallie Whitsett

13023(B)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to the collection of information unless it displays a valid OMB control number.

FEB 20 2001

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 105.00)

Complete if Known

Application Number	09/696,720
Filing Date	October 24, 2000
First Named Inventor	WATLER, THEODORE W.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	13023(B)

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number [Redacted]

Deposit Account Name [Redacted]

 Charge Any Additional Fee Required Under 37 CFR B 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	[Redacted]
106 310	206 155	Design filing fee	[Redacted]
107 480	207 240	Plant filing fee	[Redacted]
108 690	208 345	Reissue filing fee	[Redacted]
114 150	214 75	Provisional filing fee	[Redacted]

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
[Redacted]	-20**	= [Redacted] X [Redacted] = [Redacted]	[Redacted]
[Redacted]	- 3**	= [Redacted] X [Redacted] = [Redacted]	[Redacted]
Multiple Dependent		[Redacted] = [Redacted]	[Redacted]

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	65.00
127	50	Surcharge - late provisional filing fee or cover sheet.	[Redacted]
139	130	Non-English specification	[Redacted]
147	2,520	For filing a request for reexamination	[Redacted]
112	920*	Requesting publication of SIR prior to Examiner action	[Redacted]
113	1,840*	Requesting publication of SIR after Examiner action	[Redacted]
115	110	Extension for reply within first month	[Redacted]
116	380	Extension for reply within second month	[Redacted]
117	870	Extension for reply within third month	[Redacted]
118	1,360	Extension for reply within fourth month	[Redacted]
128	1,850	Extension for reply within fifth month	[Redacted]
119	300	Notice of Appeal	[Redacted]
120	300	Filing a brief in support of an appeal	[Redacted]
121	260	Request for oral hearing	[Redacted]
138	1,510	Petition to institute a public use proceeding	[Redacted]
140	110	Petition to revive - unavoidable	[Redacted]
141	1,210	Petition to revive - unintentional	[Redacted]
142	1,210	Utility issue fee (or reissue)	[Redacted]
143	430	Design issue fee	[Redacted]
144	580	Plant issue fee	[Redacted]
122	130	Petitions to the Commissioner	[Redacted]
123	50	Petitions related to provisional applications	[Redacted]
126	240	Submission of Information Disclosure Stmt	[Redacted]
581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	Filing a submission after final rejection (37 CFR B 1.129(a))	[Redacted]
149	690	For each additional invention to be examined (37 CFR B 1.129(b))	[Redacted]
Other fee (specify) _____			[Redacted]
Other fee (specify) _____			[Redacted]

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Richard Esty Peterson	Registration No. (Attorney/Agent)	26,495	Telephone	(650) 557-5708
Signature				Date	2/13/01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.